Two phase commit
What we have learnt so far

• Sequential consistency
  – All nodes agree on a single total order of operations on a single object

• Concurrency control (before-after atomicity)
  – Lock server (Lab 1)

• Crash recovery (all-or-nothing atomicity) of local transactions
  – Logging

• This class:
  – All-or-nothing atomicity of transactions that span multiple nodes
Example

Client

<table>
<thead>
<tr>
<th>Bank A</th>
</tr>
</thead>
<tbody>
<tr>
<td>Transfer $1000</td>
</tr>
<tr>
<td>From A: $3000</td>
</tr>
<tr>
<td>To B: $2000</td>
</tr>
<tr>
<td>Bank B</td>
</tr>
</tbody>
</table>

- Clients want all-or-nothing transactions
  - Transfer either happens or not at all
Strawman solution

Transfer $1000
  From A:$3000
  To B:$2000

Transaction coordinator
Strawman solution

- What can go wrong?
  - A does not have enough money
  - B’s account no longer exists
  - B has crashed
  - Coordinator crashes
Reasoning about correctness

- TC, A, B each has a notion of committing
- Correctness (Agreement):
  - If one commits, no one aborts
  - If one aborts, no one commits
- Performance:
  - If no failures, A and B can commit, then commit
  - If failures happen, find out outcome soon (upon recovery)
Correctness first

If \( r_A = \text{yes} \) && \( r_B = \text{yes} \)

\[ \text{outcome} = \text{“commit”} \]

else

\[ \text{outcome} = \text{“abort”} \]

B commits upon receiving “commit”
Performance Issues

• What about timeouts?
  – TC times out waiting for A’s response
  – A times out waiting for TC’s outcome message

• What about reboots?
  – How does a participant clean up?
Handling timeout on A/B

- TC times out waiting for A (or B)’s “yes/no” response
- Can TC unilaterally decide to commit?
- Can TC unilaterally decide to abort?
Handling timeout on TC

- If B responded with “no” …
  - Can it unilaterally abort?
- If B responded with “yes” …
  - Can it unilaterally abort?
  - Can it unilaterally commit?
Possible termination protocol

- Execute termination protocol if B times out on TC and has voted “yes”
- B sends “status” message to A
  - If A has received “commit”/”abort” from TC …
  - If A has not responded to TC, …
  - If A has responded with “no”, …
  - If A has responded with “yes”, …

Resolves most failure cases except sometimes when TC fails
Handling crash and reboot

• Nodes cannot back out if commit is decided
• TC crashes just after deciding “commit”
  – Cannot forget about its decision after reboot
• A/B crashes after sending “yes”
  – Cannot forget about their response after reboot
Handling crash and reboot

• All nodes must log protocol progress
• What and when does TC log to disk?
• What and when does A/B log to disk?
Recovery upon reboot

- If TC finds no “commit” on disk, abort
- If TC finds “commit”, commit
- If A/B finds no “yes” on disk, abort
- If A/B finds “yes”, run termination protocol to decide
Summary: two-phase commit

1. All nodes that decide reach the same decision
2. No commit unless everyone says "yes".
3. No failures and all "yes", then commit.
4. If failures, then repair, wait long enough for recovery, then some decision.
A Case study of 2P commit in real systems

Sinfonia (SOSP’07)
What problem is Sinfonia addressing?

• Targeted uses
  – systems or infrastructural apps within a data center

• Sinfonia: a shared data service
  – Span multiple nodes
  – Replicated with consistency guarantees

• Goal: reduce development efforts for system programmers
Each memory node provides a shared address space with name (node-id, address)
Sinfonia mini-transactions

• Provide all-or-nothing atomic operations
  – as well as before-after atomicity (using locks)
• Trade off expressiveness for efficiency
  – fewer network roundtrips to execute
  – Less flexible, general-purpose than traditional transactions
• Result
  – a lightweight, short-lived type of transaction
  – over unstructured data
Mini-transaction details

- Mini-transaction
  - Check compare items
  - If match, retrieve data in read items, modify data in write items

- Example:

```java
// Minitransaction
Minitransaction t = new Minitransaction();
t->cmp(node-X:0x000, 4, 3000);
t->cmp(node-Y:0x100, 4, 2000);
t->write(node-X:0x000, 4, 2000);
t->write(node-Y:0x100, 4, 3000);
Status = t->exec_and_commit();
```
Sinfonia uses 2P commit

Traditional transactions:
- general but expensive
- BEGIN tx
- If (a > 0 && b == 0)
  - b = a * a
- for (i = 0; i < a; i++)
  - b += i
- END tx

Prepare & exec commit

Mini-transaction:
- less general but efficient
- BEGIN tx
- If (a == 3000 && b == 2000)
  - a = 2000
  - b = 3000
- END tx

Prepare & exec commit

Traditional transactions
Potential uses of mini-transactions

1. atomic swap operation
2. atomic read of many data
3. try to acquire a lease
4. try to acquire multiple leases atomically
5. change data if lease is held
6. validate cache then change data
Sinfonia’s 2P protocol

• Transaction coordinator is at application node instead of memory node
  – Saves one RTT
• Problems: crashed TC blocks transaction progress
  – App nodes are less reliable than memory nodes
Sinfonia’s 2P protocol

• TC keeps no log
• A transaction is committed iff all participants have “yes” in their logs
• Recovery coordinator cleans up
  – Ask all participants for existing vote (or vote “no” if not voted yet)
  – Commit iff all vote “yes”
• Transaction blocks if a memory node crashes
  – Must wait for memory node to recovery from disk
Sinfonia applications

• SinfoniaFS
  – hosts share the same set of files, files stored in Sinfonia
  – scalable: performance improves with more memory nodes
  – fault tolerant

• SinfoniaFS exports a NFS interface
  – Each NFS op corresponds to 1 mini-transaction
SinfoniaFS architecture
Example use of mini-transaction

```c
setattr(ino_t inum, sattr_t newattr) {
    do {
        addr = address of inode
        curr_version = inode->version
        t = new Minitransaction;
        t->cmp(addr, 4, curr_version)
        t->write(addr, 4, curr_version+1)
        t->write(addr, 20, newattr);
        status = t->exec_and_commit()
    }while (status == fail);
}
```
General use of mini-transaction in SinfoniaFS

1. If local cache is empty, load it
2. Make modifications to local cache
3. Issue a mini-transaction to check the validity of cache, apply modification
4. If mini-transaction fails, reload cached item and try again
More examples: append to file

• Find a free block in cached freemap
• Issue mini-transaction with
  – Compare items: cached inode, free status of the block
  – Write items: inode, append new block, freemap, new block
• If mini-transaction fails, reload cache
Sinfonia’s mini-transaction is fast